

Form PTO-1390 (Rev. 01-2003)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER TAM-055
TRANSMITTING LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. 10/540,623 (unofficial) <i>7/3</i>
INTERNATIONAL APPLICATION NO. PCT/JP2003/016912	INTERNATIONAL FILING DATE December 26, 2003	PRIORITY DATE CLAIMED December 26, 2002
TITLE OF INVENTION THREE-BRANCHED SUGAR-CHAIN ASPARAGINE DERIVATIVES, THE SUGAR-CHAIN ASPARAGINES, THE SUGAR CHAINS, AND PROCESSES FOR PRODUCING THESE		DATE July 25, 2005
APPLICANT(S) FOR DO/EO/US Yasuhiro KAJIHARA, Kazuaki KAKEHI and Kazuhiro FUKAE		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li><input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <p>(* pages and * sheets of drawings (Fig(s). *-*).</p> <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information:             <ol style="list-style-type: none"> <li>a. PCT/IB/338</li> <li>b. PCT/IPEA/409 (English Translation)</li> </ol> </li> </ol>		

U.S. Application No. 10/540,623 (unofficial)		International Application No. PCT/JP2003/016912	Attorney's Docket No. TAM-055													
			CALCULATIONS	PTO USE ONLY												
<p>The following fees are submitted:</p> <table> <tr> <td>21. [ ] Basic National Stage Fee . . . . .</td> <td>\$300.00</td> </tr> <tr> <td>22. [ ] Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) . . . . .</td> <td>\$100.00</td> </tr> <tr> <td>All other situations . . . . .</td> <td>\$200.00</td> </tr> <tr> <td>23. [ ] Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority . . . . .</td> <td>\$100.00</td> </tr> <tr> <td>International Search Report prepared and provided to the Office . . . . .</td> <td>\$400.00</td> </tr> <tr> <td>All other situations . . . . .</td> <td>\$500.00</td> </tr> </table>					21. [ ] Basic National Stage Fee . . . . .	\$300.00	22. [ ] Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) . . . . .	\$100.00	All other situations . . . . .	\$200.00	23. [ ] Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority . . . . .	\$100.00	International Search Report prepared and provided to the Office . . . . .	\$400.00	All other situations . . . . .	\$500.00
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All other situations . . . . .	\$500.00															
TOTAL OF 21, 22 AND 23 = \$ 0.00																
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [XX] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>																
<p>National Stage Application Size Fee - If the specification and drawings exceed 100 sheets, the application size fee due is \$250.00 for each additional 50 sheets or fraction thereof.</p>																
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE													
Total claims	- 20		x \$ 50.00	\$ 0.00												
Indep. claims	- 3		x \$ 200.00	\$ 0.00												
Multiple dependent claim(s) (if applicable)			+ \$ 360.00	\$ 0.00												
TOTAL OF ABOVE CALCULATIONS = \$ 0.00																
<p>Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.</p>																
SUB TOTAL = \$ 130.00																
<p>Processing fee \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>																
TOTAL NATIONAL FEE = \$ 130.00																
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 40.00</p>																
TOTAL FEES ENCLOSED = \$ 170.00																
<p>07/28/2005 KXAYPAGH 00000092 10340623</p>																
<p>01 FG:16.7                    130.00 JP</p>																
<table> <tr> <td>Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td>charged</td> <td>\$</td> </tr> </table>					Amount to be refunded:	\$	charged	\$								
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charged	\$															
<p>a. [XX] Payment in the amount of <u>\$170.00</u> is authorized by credit card (PTO-2038).      b. [ ] Check in the amount of <u>\$0.00</u> to cover the above fees is enclosed.      c. [ ] Please charge my Deposit Account No. <u>111833</u> in the amount of <u>\$ </u> to cover the above fees.      d. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>111833</u>.</p>																
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</p>																
 <table> <tr> <td>Signature</td> </tr> <tr> <td>Keiko Tanaka Kubovcik</td> </tr> <tr> <td>Name</td> </tr> <tr> <td>40,428</td> </tr> <tr> <td>Registration Number</td> </tr> <tr> <td>July 25, 2005</td> </tr> <tr> <td>Date</td> </tr> </table>					Signature	Keiko Tanaka Kubovcik	Name	40,428	Registration Number	July 25, 2005	Date					
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